

#### **COMMUNITY REFERRAL**

#### FOR NYS HEALTH HOME CARE MANAGEMENT SERVICES FOR CHILDREN/YOUTH

CNYHHN, INC. is accepting referrals from the community for enrollment of eligible children/youth into Health Home Services. Children/Youth must meet all eligibility requirements to be considered for enrollment.

#### HEALTH HOME CARE MANAGEMENT SERVICES ELIGIBILITY

- 1. Child/youth currently has active Medicaid or Medicaid Managed Care; AND
- 2. Child/Youth resides in one of the following Counties: Central Region (Oneida, Herkimer, Madison, and Cayuga County), North Country (Jefferson, Lewis and St. Lawrence) OR Capital District (Albany, Schenectady and Rensselaer)
- 3. Child/Youth meets the NYS Department of Health Eligibility Criteria:
  - 2 or more Chronic Conditions (See Appendix A); or
  - 1 Single Qualifying Chronic Medical or Mental Health Condition
    - HIV/AIDS; or
    - Sickle Cell Disease, or
    - Serious Emotional Disturbance; or
    - Complex Trauma
- 4. Child/Youth has significant behavioral, medical or social risk factors which can be addressed through care management.

#### HOW TO MAKE A REFERRAL

- 1. Complete the attached Community Referral Application Form.
- 2. Please make sure the Medicaid CIN Number is on the referral (this is two letters, followed by five numbers, and one letter) *Example: (AA12345A).*
- 3. Eligibility Category Information: Make sure to specify the diagnosis: *Example (Serious mental Illness 296.8 Bipolar Disorder NOS; Example: Other Chronic Conditions COPD).*
- 4. Risk Factor Give some detailed information concerning child/youth's risk factors: Example: (Member is at risk for hospitalization due to non-adherence with medication).
- No Referral can be processed without the Parent/Guardian/Legally Authorized Representative for Child/Youth consent form, which is included in the Referral. *Referral will not be processed without a consent per DOH.* CONSENT TO DISCLOSURE OF HEALTH INFORMATION from CNYHHN Referral is needed.
- 6. If you are an agency assisting PARENT/GUARDIAN/LEGALLY AUTHORIZED REPRESENTATIVE FOR CHILD/YOUTH in completing a self-referral, make sure to list your contact information along with the member's information, as the Referral Coordinator may not be able to reach the member which delays the referral process.
- 7. If Referrals are coming from an inpatient unit, please provide:
  - Name of hospital and contact information for the Discharge Planner
  - Admission and planned discharge date
  - Reason for admission
- 4. Send the completed application and consent via secure email or fax, or mail to:

CNYHHN, Inc. 268 Genesee Street Suite 202 Utica, NY 13501 Referrals@cnyhealthhome.net Fax: 315-624-9428 Questions? Call 1-855-784-1262 Be sure to include all pages in your submission!

Approved children/youth will be assigned to a Care Management Agency who will conduct outreach and attempt to engage the child/youth in Health Home Care Management Services. Health Home services are voluntary and the Youth and/or Parent/Legal Guardian will be asked to consent during the outreach and engagement process.



# **Child/Youth Community Referral Application**

Health Home Care Management Services

## PLEASE ATTACH SUPPORTING DOCUMENTATION, DIAGNOSIS AND SIGNED CONSENT IN ORDER TO EXPEDITE THIS REFERAL

DEMOGRAPHICS							
Date of Referral:		Date	of Birth:			Gende	er:
Child's Name (Last, First,	, MI.):	•					
Child's Current Address:						City:	
Zip Code:		County:			Telep	Telephone:	
INSURANCE							
Medicaid CIN # Required to process:				Managed Care Organization Plan:			
FOSTER CARE/PREVENTATIVE SERVICES							
Child Currently in Foster Care:		□ <b>Y</b>	es		Νο		Unknown
If a child is currently in Foster ( Medicaid Analytics & Performa			DEPARTMENT OF SO	CIAL SERV	ICES may complete	the referra	l, which must be completed in
Preventative Services: (If any)	□ <b>Y</b>	es	🗆 No		Unknown	Contact	Information (NPI if known) :
CONSENT TO REFER							
CONSENT TO MAKE THIS RE FOR CHILDREN UP TO THE A CONSENT ON THEIR OWN E	AGE OF 18.	FOR CH	ILDREN/YOUTH AGI	ES 18-21,	OR THAT ARE MAI	RRIED, A F	PARENT OR PREGNANT MAY
Parent			iuardian		Legally Authorize Representative	ed	Child/Youth (18 yrs old, Parent, Pregnant or Married)
PARENT/LEGAL GUARI	DIAN DEI	MOGR/	APHICS				
Parent/Guardian's Name (Last, First, MI.)							
Address: City:							
Zip Code:		Coun	ty:			Telepl	hone:

CNYHHN, Inc. Where Care Comes Together

HEALTH HOME ELIGIBILITY		
Eligibility Type (Check only one) Two or more Chronic Conditions 1. 2. OR ONE OF THE FOLLOWING SINGLE QUALIF Serious Emotional Disturbance ( from Appendix B required to pro HIV/AIDS Sickle Cell Disease COMPLEX TRAUMA (Appendix C o If yes, Complex Trauma Expos Referral Cover Sheet are requ (Appendix C) for details. Can b licensed or licensed professio	(Appendix A) YING CONDITIONS Written Diagnosis cess) ure Screen Form and ired upon referral be completed bynon-	<ul> <li>Appropriateness Criteria (Check all that apply)</li> <li>At risk for adverse event (death, disability, inpatient or nursing home admission, mandated preventative services, or out of home placement)</li> <li>Has inadequate social/family/housing support orserious disruptions in family relationships</li> <li>Has inadequate connectivity with healthcare system</li> <li>Does not adhere to treatments or had difficulty managing medications</li> <li>Has recently been released from incarceration, placement, detention, or psychiatric hospitalization</li> <li>Has deficits in activities of daily living, learning orcognition issues</li> <li>Is concurrently eligible or enrolled, along with either their child or caregiver, in a Health Home</li> </ul>
OTHER FAMILY/RESIDENTIAL INFOR		
Is any other family member currently en		ealth Home?
Specify Preferred or Recommended Car REFERRAL SOURCE		cify language spoken if other than English: ncy, if any:
Name:	Title:	Organization:
Phone:	Email:	
Is referral from an embedded site (Yes o	or No)?	If yes, which site?
OTHER APPLICABLE INFORMATION:		



# CONSENT TO DISCLOSURE OF HEALTH INFORMATION FORM PERMISSION TO USE AND DISCLOSE CONFIDENTIAL INFORMATION

By signing this Consent Form, you permit people involved in your care to share health information so that doctors and other providers can have a complete picture of your health and help you get better care. Your health records provide information about your illnesses, injuries, medicines and/or test results. Your records may include sensitive information, such as information about HIV status, mental health records, reproductive health records, drug and alcohol treatment, and genetic information.

If you permit disclosure, your child/youth's health information will only be used to provide you with medical treatment and related health and social services. This includes referral from one provider to another, consultation regarding care, provision of health care services, and coordination of care among providers. Your child/youth's health information may be re-disclosed only as permitted by state and federal laws and regulations. These laws limit re-disclosure of information about your treatment at a substance abuse or mental health program, HIV related information, genetic records, and records of sexually transmitted illnesses.

Your choice to give or deny consent to disclose your child/youth's health information will not be the basis for denial of health services or health insurance. You can withdraw your consent at any time by signing a Withdrawal of Consent Form and giving it to one of the providers listed on the following page. However, anyone who receives information while your consent is in effect may retain it. Even if you withdraw your consent, they are not required to return your information or remove it from their records. You are entitled to get a copy of this Consent Form after you sign it.

#### CONSENT TO DISCLOSURE OF HEALTH INFORMATION

- **1.** The person whose information may be used or disclosed is:
  - Child/Youth: \_\_\_\_\_ Date of Birth: \_\_\_\_\_
- 2. The information that may be disclosed includes all records of diagnosis and health care treatment and all education records including, but not limited to: Mental health records, except that disclosure of psychotherapy notes is not permitted; Substance abuse treatment records; HIV related information; Genetic information; Information about sexually transmitted diseases; and Education records.
- **3.** This information may be disclosed to the persons or organizations listed in following page.
- **4.** This information may be disclosed by any person or organization that holds a record described below, including those listed in the following page.
- 5. Use and disclosure of this information is permitted only as necessary for the purposes of the provision of delivery of health and social services, including outreach, service planning, referrals, care coordination, direct care, and monitoring of the quality of service.
- 6. This permission expires on \_\_\_\_\_(date).
- 7. I understand that this permission may be revoked. I also understand that records disclosed before this permission is revoked may not be retrieved. Any person or organization that relied on this permission may continue to use or disclose health information as needed to complete treatment.

I am THE PARENT/GUARDIAN/LEGALLY AUTHORIZED REPRESENTATIVE FOR CHILD/YOUTH UP TO THE AGE OF 18. YOUTH AGES 18-21, OR THAT ARE MARRIED, A PARENT OR PREGNANT MAY CONSENT ON THEIR OWN BEHALF; whose records will be used or disclosed. (If personal representative, parent, or guardian, pleaseenter relationship\_\_\_\_\_\_). I give permission to use and disclose my records as described in this document.



Health information may be disclosed for purposes of treatment to the people and organizations listed below:

Albany County
<ul> <li>Building Blocks, LLC</li> <li>St. Catherine's Center for Children</li> <li>Whitney Young</li> </ul>
Cayuga County
<ul><li>CNYHHN, Inc. Care Management</li><li>Salvation Army</li></ul>
Herkimer County
<ul> <li>Building Blocks, LLC</li> <li>CNYHHN, Inc. Care Management</li> <li>ICAN</li> <li>The Neighborhood Center</li> <li>Salvation Army</li> <li>Upstate Cerebral Palsy Care Management</li> </ul>
Jefferson County
<ul> <li>Bridging the Gap Care Management</li> <li>Children's Home/Care Coordination of Northern New York</li> <li>The ARC of Jefferson-St. Lawrence</li> <li>Transitional Living Services of NNY</li> </ul>
Lewis County
<ul> <li>Bridging the Gap Care Management</li> <li>Children's Home/Care Coordination of Northern New York</li> <li>The ARC of Jefferson-St. Lawrence</li> <li>Transitional Living Services of NNY</li> </ul> Madison County
<ul> <li>Building Blocks, LLC</li> <li>CNYHHN, Inc. Care Management</li> <li>ICAN</li> </ul>

Salvation Army

CNYHHN, Inc.

Where Care Comes Together

#### **Oneida County**

- Building Blocks, LLC
- CNYHHN, Inc. Care Management
- ICAN
- The Neighborhood Center, Inc.
- Salvation Army

## **Rensselaer County**

- Building Blocks, LLC
- St. Catherine's Center for Children
- Whitney Young

#### **Schenectady County**

- Building Blocks, LLC
- St. Catherine's Center for Children
- Whitney Young

## **St. Lawrence County**

- Bridging the Gap Care Management
- The ARC of Jefferson-St. Lawrence
- Transitional Living Services of NNY
- United Helpers Mosaic



# **Appendix A: Health Home Chronic Conditions**

Nam	e:
	Acquired Hemiplegia and Diplegia
	Acquired Paraplegia
	Acquired Quadriplegia
	Acute Lymphoid Leukemia w/wo Remission
	Acute Non-Lymphoid Leukemia w/wo Remission
	Alcoholic Liver Disease
	Alcoholic Polyneuropathy
	Alzheimer's Disease and Other Dementias
	Angina and Ischemic Heart Disease
	Anomalies of Kidney or Urinary Tract
	Apert's Syndrome
	Aplastic Anemia/Red Blood Cell Aplasia
	Ascites and Portal Hypertension
	Asthma
	Atrial Fibrillation
	Attention Deficit / Hyperactivity Disorder
	Benign Prostatic Hyperplasia
	Bi-Polar Disorder
	Blind Loop and Short Bowel Syndrome
	Blind Loop and short bower syndrome
	Bone Malignancy
	Bone Transplant Status
	-
	Brain and Central Nervous System Malignancies
	Breast Malignancy
	Burns – Extreme
	Cardiac Device Status
	Cardiac Dysrhythmia and Conduction Disorders
	Cardiomyopathy
	Cardiovascular Diagnoses requiring ongoing evaluation and
	treatment
	Cataracts
	Cerebrovascular Disease w or w/o Infarction or Intracranial
	Hemorrhage
	Chromosomal Anomalies
	Chronic Alcohol Abuse and Dependency
	Chronic Bronchitis
	Chronic Disorders of Arteries and Veins
	Chronic Ear Diagnoses except Hearing Loss
	Chronic Endocrine, Nutritional, Fluid, Electrolyte and Immune
	Diagnoses
	Chronic Eye Diagnoses
	Chronic Gastrointestinal Diagnoses
	Chronic Genitourinary Diagnoses
	Chronic Gynecological Diagnoses
	Chronic Hearing Loss
	Chronic Hematological and Immune Diagnoses
	Chronic Infections Except Tuberculosis
	Chronic Joint and Musculoskeletal Diagnoses
	Chronic Lymphoid Leukemia w/wo Remission
	Chronic Metabolic and Endocrine Diagnoses

Chronic Neuromuscular and Other Neurological Diagnoses           Chronic Non-Lymphoid Leukemia w/wo Remission           Chronic Obstructive Pulmonary Disease and Bronchiectasis           Chronic Pain           Chronic Pancreatic and/or Liver Disorders (Including Chronic	
Chronic Obstructive Pulmonary Disease and Bronchiectasis Chronic Pain	
Chronic Pain	
Chronic Pancreatic and/or Liver Disorders (Including Chronic	
Viral Hepatitis)	
Chronic Pulmonary Diagnoses	
Chronic Renal Failure	
Chronic Skin Ulcer	
Chronic Stress and Anxiety Diagnoses	
Chronic Thyroid Disease	
Chronic Ulcers	
Cirrhosis of the Liver	
Cleft Lip and/or Palate	
Coagulation Disorders	
Cocaine Abuse	
Colon Malignancy	
Complex Cyanotic and Major Cardiac Septal Anomalies	
Conduct, Impulse Control, Other Disruptive Behavior Disorde	rc
Congestive Heart Failure	.13
Conjective Tissue Disease and Vasculitis	
Coronary Atherosclerosis	
Coronary Graft Atherosclerosis Crystal Arthropathy	
Curvature or Anomaly of the Spine	
Cystic Fibrosis	
Defibrillator Status	
Dementing Disease	
Depression	
Depressive and Other Psychoses Developmental Language Disorder	
Developmental Delay NOS/NEC/Mixed	
Diabetes w/wo Complications	
Digestive Malignancy	
Disc Disease and Other Chronic Back Diagnoses w/wo	
Myelopathy Diverticulitis	
Drug Abuse Related Diagnoses	
Ear, Nose, and Throat Malignancies	
Eating Disorder	
Endometriosis and Other Significant Chronic Gynecological Diagnoses	
Enterostomy Status	
Epilepsy	
Esophageal Malignancy	
Extrapyramidal Diagnoses	
Extreme Prematurity - Birthweight NOS	
Fitting Artificial Arm or Leg	
Gait Abnormalities Gallbladder Disease	
Gastrostomy Status	

# CNYHHN, Inc.

Where Care Comes Together

 e:
Genitourinary Malignancy
Genitourinary Stoma Status
Glaucoma
Gynecological Malignancies
Hemophilia Factor VIII/IX
History of Coronary Artery Bypass Graft
History of Hip Fracture Age > 64 Years
History of Major Spinal Procedure
History of Transient Ischemic Attack
HIV Disease
Hodgkin's Lymphoma
Hydrocephalus, Encephalopathy, and Other Brain Anomalies
Hyperlipidemia
Hypertension
Hyperthyroid Disease
Immune and Leukocyte Disorders
Inflammatory Bowel Disease
Intestinal Stoma Status
Joint Replacement
Kaposi's Sarcoma
Kidney Malignancy
Leg Varicosities with Ulcers or Inflammation
Liver Malignancy
Lung Malignancy
Macular Degeneration
Major Anomalies of the Kidney and Urinary Tract
Major Congenital Bone, Cartilage, and Muscle Diagnoses
Major Congenital Heart Diagnoses Except Valvular
Major Liver Disease except Alcoholic
Major Organ Transplant Status
Major Personality Disorders
Major Respiratory Anomalies
Malfunction Coronary Bypass Graft
Malignancy NOS/NEC
Mechanical Complication of Cardiac Devices, Implants and
Grafts
Melanoma
Migraine
Multiple Myeloma w/wo Remission
Multiple Sclerosis and Other Progressive Neurological
Diagnoses
Neoplasm of Uncertain Behavior
Nephritis
Neurodegenerative Diagnoses Except Multiple Sclerosis and
Parkinson's
 Neurofibromatosis
Neurogenic Bladder

Nourologic Noglast Sundroma
Neurologic Neglect Syndrome
Neutropenia and Agranulocytosis
 Non-Hodgkin's Lymphoma
Obesity (BMI at or above 25 for adults and BMI at or above the
85th percentile
Opioid Abuse
Osteoarthritis
Osteoporosis
Other Chronic Ear, Nose, and Throat Diagnoses
Other Malignancies
Pancreatic Malignancy
Pelvis, Hip, and Femur Deformities
Peripheral Nerve Diagnoses
Peripheral Vascular Disease
Persistent Vegetative State
Phenylketonuria
Pituitary and Metabolic Diagnoses
Plasma Protein Malignancy
Post-Traumatic Stress Disorder
Postural and Other Major Spinal Anomalies
Prematurity - Birthweight < 1000 Grams
Progressive Muscular Dystrophy and Spinal Muscular Atrophy
Prostate Disease and Benign Neoplasms - Male
Prostate Malignancy
Prostate Malignancy Psoriasis
Psoriasis
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension Recurrent Urinary Tract Infections
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension Recurrent Urinary Tract Infections Reduction and Other Major Brain Anomalies
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension Recurrent Urinary Tract Infections Reduction and Other Major Brain Anomalies Rheumatoid Arthritis Schizophrenia
Psoriasis         Psychiatric Disease (except Schizophrenia)         Pulmonary Hypertension         Recurrent Urinary Tract Infections         Reduction and Other Major Brain Anomalies         Rheumatoid Arthritis         Schizophrenia         Secondary Malignancy
Psoriasis Psychiatric Disease (except Schizophrenia) Pulmonary Hypertension Recurrent Urinary Tract Infections Reduction and Other Major Brain Anomalies Rheumatoid Arthritis Schizophrenia
Psoriasis         Psychiatric Disease (except Schizophrenia)         Pulmonary Hypertension         Recurrent Urinary Tract Infections         Reduction and Other Major Brain Anomalies         Rheumatoid Arthritis         Schizophrenia         Secondary Malignancy         Secondary Tuberculosis         Sickle Cell Anemia
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone Disease
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue Diagnoses
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo Hydrocephalus
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSpina Bifida w/wo HydrocephalusSpinal Stenosis
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisSpondyloarthropathy and Other Inflammatory Arthropathies
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach Malignancy
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach MalignancyTracheostomy Status
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach MalignancyTracheostomy StatusValvular Disorders
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach MalignancyTracheostomy StatusValvular DisordersVasculitis
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach MalignancyTracheostomy StatusValvular DisordersVasculitisVentricular Shunt Status
PsoriasisPsychiatric Disease (except Schizophrenia)Pulmonary HypertensionRecurrent Urinary Tract InfectionsReduction and Other Major Brain AnomaliesRheumatoid ArthritisSchizophreniaSecondary MalignancySecondary TuberculosisSickle Cell AnemiaSignificant Amputation w/wo Bone DiseaseSignificant Skin and Subcutaneous Tissue DiagnosesSpina Bifida w/wo HydrocephalusSpinal StenosisStomach MalignancyTracheostomy StatusValvular DisordersVasculitis



# Appendix B: Serious Emotional Disturbance (SED)

For Health Home Serving Children, SED is a single qualifying chronic condition and is defined as a child or adolescent (under the age of 21) that has a designated mental illness diagnosis in the following Diagnostical and Statistical Manual (DSM) categories: (Schizophrenia Spectrum and Other Psychotic Disorders, Bipolar and Related Disorders, Depressive Disorders, Anxiety Disorders, Obsessive Compulsive and Related Disorders, Feeding and Eating Disorders, Gender Dysphoria, Disruptive, Impulse Control, and Conduct Disorders, Personality Disorders, Paraphilic Disorders, Sleep Wake Disorder, Medication Induced Movement Disorders, Attention Deficit Hyperactivity Disorder, Elimination Disorders, Sexual Dysfunctions, and Tic Disorder) as defined by the most recent version of the DSM of Mental Health Disorders **AND** has experienced the following functional limitations due to emotional disturbance over the past 12 months (from the date of assessment) on a continuous or intermittent basis. Functional limitations requirements for SED must be **moderate in at least two** of the following areas or **severe in at least one** of the following areas as determined by a licensed mental health professional:

- Ability to care for self (e.g. personal hygiene; obtaining and eating food; dressing; avoiding injuries);
   OR
- Family Life (e.g. capacity to live in a family or family like environment; relationships with parents or substitute parents, siblings, and other relatives; behavior in a family setting); OR
- Social Relationships (e.g. establishing and maintaining friendships; interpersonal interactions with peers, neighbors and other adults; social skills; compliance with social norms; play and appropriate use of leisure time); OR
- Self-direction/Self Control (e.g. ability to sustain focused attention for a long period of time to permit completion of age appropriate tasks; behavioral self-control; appropriate judgement and value systems; decision making ability; OR
- Ability to learn (e.g. school achievement and attendance; receptive and expressive language; relationships with teachers, behavior in school)



## **Appendix C: Complex Trauma**

## **Definition of Complex Trauma:**

- A) The term complex trauma incorporates atleast:
  - a. Infants/Children/or Adolescents exposure to multiple traumatic events, often of an invasive, interpersonal nature, and
  - b. The wide-ranging, long term impact of this exposure
- B) The nature of the traumatic events:
  - a. Often is severe and pervasive, such as abuse or profound neglect;
  - b. Usually begins early in life;
  - c. Can be disruptive of the child's development and the formation of health sense of self (with self-regulatory, executive functioning, self-perceptions etc.);
  - d. Often occur in the context of the child's relationship with a caregiver; and
  - e. Can interfere with the child's ability to form a secure attachment bond, which is considered a prerequisite for health social-emotional functioning
- C) Many aspects of a child's healthy physical and mental development rely on this secure attachment, a primary source of safety and stability
- D) Wide-ranging, long term adverse effects can include impairments in:
  - a. Physiological responses and related neurodevelopment
  - b. Emotional Responses
  - c. Cognitive processes including the ability to think, learn and concentrate
  - d. Impulse control and other self-regulating behavior
  - e. Self-image;
  - f. Relationships with others

\*If child/youth eligibility is determined under the Complex Trauma, the Complex Trauma Exposure Screen Form and Referral Cover Sheet are required upon referral, which can be completed by non-licensed or licensed professional. Obtain forms from the following links through the NYS Department of Health Website.

#### **Complex Trauma Exposure Screen Form**

https://www.health.ny.gov/health\_care/medicaid/program/medicaid\_health\_homes/docs/final\_complex\_trauma exposure\_screen.pdf

**Referral Cover Sheet** 

https://www.health.ny.gov/health\_care/medicaid/program/medicaid\_health\_homes/docs/final\_complex\_trauma referral\_cover\_sheet.pdf